



IAP3 Rec'd PCT/PTO 10 FEB 2006

PCT

PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is (XXXX) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 or ( ) being transmitted by facsimile to the U.S. Patent and Trademark Office. (Fax No. 703 872 9306) ( ) pages). This facsimile is being sent from (908) 429-3650.

Maria T. Sanchez  
(Print Name)

Date: February 8, 2006

Maria T. Sanchez  
(Signature)

Docket No. 2003JP308

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Tomoko AOKI et al.

Art Unit: To be Assigned

Serial No. 10/553,095, filed October 13, 2005

Examiner: To be Assigned

For: **COATING COMPOSITION, POROUS SILICA-BASED FILM, METHOD FOR PRODUCING POROUS SILICA-BASED FILM AND SEMICONDUCTOR DEVICE**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING  
OR BEFORE MAILING OF THE FIRST OFFICE ACTION  
(37 CFR 1.97 (b))**

February 8, 2006

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE  
STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of 5 August 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION  
WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED  
BE SUBMITTED

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS  
STATEMENT

English Language Abstract of JP 7-292321  
English Language Abstract of JP 7-305029  
English Language Abstract of JP 10-218690

Serial No.: 10/553,095  
Filed October 13, 2005

Machine Language English Translation from JPO of JP 07-292321  
Machine Language English Translation from JPO of JP 07-305029  
Machine Language English Translation from JPO of JP 10-218690

Legible copies of all items listed accompany this information statement except as noted herein.

[ ] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

[X] Submitted herewith is an English language abstract or other document of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Language Abstract of JP 7-292321  
English Language Abstract of JP 7-305029  
English Language Abstract of JP 10-218690

Machine Language English Translation from JPO of JP 07-292321  
Machine Language English Translation from JPO of JP 07-305029  
Machine Language English Translation from JPO of JP 10-218690

[X] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

[X] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

US 2003-0099843 - equivalent to WO 01/04049  
US 2003-0152783 - equivalent to WO 01/04049  
US 2004-0028828 - equivalent to WO 02/19410

Pursuant to the Official Gazette notice of 5 August 2003, 1276 O.G. 55, since this application entered the National Stage under 35 USC 371 after June 30, 2003, applicant is not enclosing copies of the above mentioned U.S. patents and/or U.S. patent publications.

Serial No.: 10/553,095  
Filed October 13, 2005

Copies of JP 7-292321, JP 7-305029, WO 02/19410, WO 01/04049, and JP 10-218690 are not enclosed as they were cited in the International Search Report submitted with applicants' National Stage filing.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION  
DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Tomoko AOKI et al.

Docket No.

2003JP308

Serial No.

10/553,095

Filing Date

October 13, 2005

Examiner

To Be Assigned

Group Art Unit

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Invention: COATING COMPOSITION, POROUS SILICA-BASED FILM, METHOD FOR PRODUCING  
POROUS SILICA-BASED FILM AND SEMICONDUCTOR DEVICE

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February 8, 2006

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

2003JP308

Application Number

10/553,095

Applicant(s)

Tomoko AOKI et al.

Filing Date

October 13, 2005

Group Art Unit

To Be Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0099843	5/29/2003	Aoki et al.			
		2003/0152783	8/14/2003	Aoki et al.			
		2004/0028828	2/12/2004	Aoki et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 7-292321.
		English Language Abstract of JP 7-305029.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>		Docket Number (Optional) <b>2003JP308</b>		Application Number <b>10/553,095</b>	
		Applicant(s) <b>Tomoko AOKI et al.</b>			
		Filing Date <b>October 13, 2005</b>		Group Art Unit <b>To Be Assigned</b>	
*EXAMINER INITIAL	<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>				
		English Language Abstract of JP 10-218690.			
		Machine Language English Translation from JPO of JP 7-292321.			
		Machine Language English Translation from JPO of JP 7-305029.			
		Machine Language English Translation from JPO of JP 10-218690.			
EXAMINER		DATE CONSIDERED			
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